

Docket: 14036

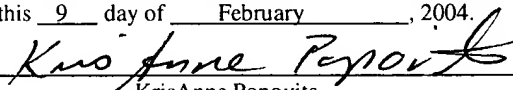
## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Michael R. Oldenburg	FEB 17 2004
Application No.:	09/855,001	OFFICE OF PETITIONS
Filing Date:	May 21, 2001	Examiner: V. Patel
Title:	<b>RETROFITTABLE SEVERE DUTY SEAL FOR A SHAFT</b>	Group Art Unit: 3676

## TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

I hereby certify that this document is being sent via First Class U.S. mail addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 9 day of February, 2004.

  
KrisAnne Popovits

Dear Sir:

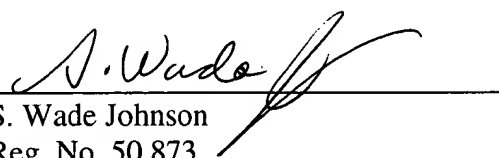
The following documents are enclosed in connection with the above-referenced patent application:

1. Submission Of Payment Of Deficiency Under 37 CFR § 1.28(c)(2) (2 pages, submitted in duplicate);
2. Itemization Of Deficiency Of Payment Under 37 CFR § 1.28(c)(2)(ii) (2 pages);
3. Fee Transmittal (1 page);
4. Check No. 982181 in the amount of \$674 for payment of the deficiency; and
5. Return Receipt Postcard.

Respectfully submitted,

DORSEY & WHITNEY LLP  
Customer Number 25763

Date: 2 - 9 - 04

By:   
S. Wade Johnson  
Reg. No. 50,873  
Intellectual Property Department  
Suite 1500  
50 South Sixth Street  
Minneapolis, MN 55402-1498  
(612) 340-8835



RECEIVED

FEB 17 2004

OFFICE OF PATENTS

Docket: 14036

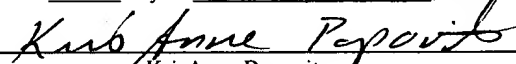
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Michael R. Oldenburg	
Application No.:	09/855,001	
Filing Date:	May 14, 2001	Examiner: V. Patel
Title:	<b>RETROFITTABLE SEVERE DUTY SEAL FOR A SHAFT</b>	Group Art Unit: 3676

**SUBMISSION OF PAYMENT OF DEFICIENCY  
UNDER 37 CFR § 1.28(c)(2)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

I hereby certify that this document is being sent via First Class U.S. mail addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 9 day of February, 2004

  
KrisAnne Popovits

Dear Sir:

Status as a small entity was established in good faith and fees as a small entity were paid in good faith for the above-identified application. Upon review of this application, it was determined that through error the Office was not notified of a loss of entitlement to small entity status as required by § 1.27(g)(2). A check in the amount of \$674 is submitted herewith in payment of the deficiency.

A separate paper providing an itemization of the deficiency payment is submitted herewith.

Applicant respectfully requests that the error in small entity status be excused.

Any additional fees necessitated by this communication may be charged to Deposit Account No. 04-1420. A copy of this paper is enclosed.

Respectfully submitted,

DORSEY & WHITNEY LLP

Customer Number 25763

Date: \_\_\_\_\_

2-9-04

By: \_\_\_\_\_

  
S. Wade Johnson

Reg. No. 50,873

Intellectual Property Department  
Suite 1500

50 South Sixth Street

Minneapolis, MN 55402-1498

(612) 340-8835



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FEB 17 2004  
OFFICE OF PETITIONS

Docket: 14036

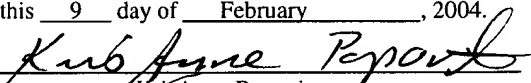
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Michael R. Oldenburg	
Application No.:	09/855,001	
Filing Date:	May 21, 2001	Examiner: V. Patel
Title:	<b>RETROFITTABLE SEVERE DUTY SEAL FOR A SHAFT</b>	Group Art Unit: 3676

ITEMIZATION OF DEFICIENCY OF PAYMENT UNDER 37 CFR  
§ 1.28(c)(2)(ii)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

I hereby certify that this document is being sent via First Class U.S. mail addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 9 day of February, 2004.

  
KrisAnne Popovits

Dear Sir:

In support of the Submission of Payment of Deficiency under 37 CFR § 1.28(c)(2) submitted herewith, the itemization of deficiency is as follows:

<u>Type of Fee</u>	<u>Current Fee</u>	<u>Fee Paid</u>	<u>Date</u>	<u>Amount Owed</u>
Extension Fee: One Month	\$110	\$55 ✓	10/15/2002	\$55
Extra Dependent Claim Fee (1)	\$18	\$9 ✓	9/8/2003	\$9
Extension Fee: Two Month	\$420	\$205 ✓	9/8/2003	\$215
RCE Fee	\$770	\$375 ✓	9/8/2003	\$395

Total Deficiency Owed: \$674

02/17/2004 AMONDAF1 00000049 09855001

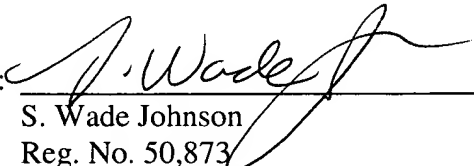
01 FC:1801	770.00 OP
02 FC:1202	18.00 OP
03 FC:1251	110.00 OP
04 FC:1252	420.00 OP

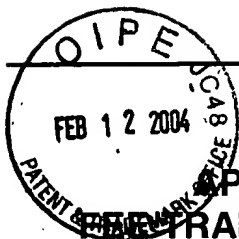
Applicant respectfully requests that the error in small entity status be excused.

Respectfully submitted,

**DORSEY & WHITNEY LLP**  
**Customer Number 25763**

Date: 2-9-04

By:   
S. Wade Johnson  
Reg. No. 50,873  
Intellectual Property Department  
Suite 1500  
50 South Sixth Street  
Minneapolis, MN 55402-1498  
(612) 340-8835



**APPLICATION  
TRANSMITTAL SHEET  
(FY 2004)**

Complete if Known

Application No.	09/855,001
Filing Date	May 21, 2001
First Named Inventor	Michael R. Oldenburg
Group Art Unit	3676
Examiner Name	V. Patel
Atty. Docket Number	14036

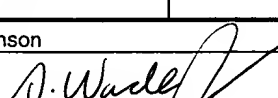
RECEIVED

FEB 17 2004

OFFICE OF PETITIONS

METHOD OF PAYMENT (Check One)		FEE CALCULATION (Continued)																																																																																																													
<p>1. <input checked="" type="checkbox"/> The Director is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No.: <u>04-1420</u> Deposit Account Name: <u>DORSEY &amp; WHITNEY LLP</u></p> <p><input checked="" type="checkbox"/> Charge any additional fee required under 37 C.F.R. 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status (see 37 C.F.R. 1.27)</p> <p>2. <input checked="" type="checkbox"/> Check Enclosed</p>		<p><b>3. ADDITIONAL FEES</b></p> <table><thead><tr><th>Large Entity Fee</th><th>Small Entity Fee</th><th>Fee Description</th><th>Fee paid</th></tr></thead><tbody><tr><td>50</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>130</td><td>65</td><td>Surcharge - Late nonprovisional filing fee or oath</td><td></td></tr><tr><td>180</td><td>180</td><td>Submission of IDS</td><td></td></tr><tr><td>40</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>110</td><td>55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>420</td><td>210</td><td>Extension for reply within second month</td><td></td></tr><tr><td>950</td><td>475</td><td>Extension for reply within third month</td><td></td></tr><tr><td>1,480</td><td>740</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>2,010</td><td>1,005</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>770</td><td>385</td><td>Submission After Final 1.129</td><td></td></tr><tr><td>330</td><td>165</td><td>Notice of Appeal</td><td></td></tr><tr><td>330</td><td>165</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>290</td><td>145</td><td>Request for oral hearing</td><td></td></tr><tr><td>110</td><td>55</td><td>Terminal Disclaimer Fee</td><td></td></tr><tr><td>130</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>50</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr><tr><td>1,330</td><td>665</td><td>Utility/Reissue Issue Fee (including advance copies)</td><td></td></tr><tr><td>480</td><td>240</td><td>Design Issue Fee (inc. advance copies)</td><td></td></tr><tr><td>770</td><td>385</td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>300</td><td>300</td><td>Publication fee for early, voluntary, or normal publication</td><td></td></tr><tr><td>300</td><td>300</td><td>Publication fee for re-publication</td><td></td></tr><tr><td>110</td><td>55</td><td>Petition to Revive - unavoidable</td><td></td></tr><tr><td>1,330</td><td>665</td><td>Petition to Revive - unintentional</td><td></td></tr><tr><td colspan="3">OTHER FEE (specify)      Payment of Deficiency</td><td>\$674.00</td></tr><tr><td colspan="3"><b>Subtotal (3)</b></td><td>\$674.00</td></tr><tr><td colspan="3"><b>Total Amount of Payment:</b></td><td><b>\$674.00</b></td></tr></tbody></table>		Large Entity Fee	Small Entity Fee	Fee Description	Fee paid	50	25	Surcharge - late provisional filing fee or cover sheet		130	65	Surcharge - Late nonprovisional filing fee or oath		180	180	Submission of IDS		40	40	Recording each patent assignment per property (times number of properties)		110	55	Extension for reply within first month		420	210	Extension for reply within second month		950	475	Extension for reply within third month		1,480	740	Extension for reply within fourth month		2,010	1,005	Extension for reply within fifth month		770	385	Submission After Final 1.129		330	165	Notice of Appeal		330	165	Filing a brief in support of an appeal		290	145	Request for oral hearing		110	55	Terminal Disclaimer Fee		130	130	Petitions to the Commissioner		50	50	Petitions related to provisional applications		1,330	665	Utility/Reissue Issue Fee (including advance copies)		480	240	Design Issue Fee (inc. advance copies)		770	385	Request for Continued Examination (RCE)		300	300	Publication fee for early, voluntary, or normal publication		300	300	Publication fee for re-publication		110	55	Petition to Revive - unavoidable		1,330	665	Petition to Revive - unintentional		OTHER FEE (specify)      Payment of Deficiency			\$674.00	<b>Subtotal (3)</b>			\$674.00	<b>Total Amount of Payment:</b>			<b>\$674.00</b>
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Submitted by:

CUSTOMER NUMBER <b>25763</b>	DORSEY & WHITNEY LLP	Intellectual Property Department Suite 1500, 50 South Sixth Street Minneapolis, MN 55402
Name: S. Wade Johnson	Phone No.: (612) 340-8835	Fax No.: (612) 340-8856
Signature: 	Reg. No.: 50,873	Date: 2-9-04

# Transaction List

Search by

☐ Accounting Date:  Operator ID:   
(MMDDYY)

Accounting Date



☒ Name/Number:

Starts:

Ends:

☐ Attny Docket No:

☐ Deposit Account No.:


Accounting Date	Operator ID	Seq. No.	Txn Src	Fee Code	St	Amount	Name/Number	Dep Acct
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09/12/2003	MDAMTE1	66	SALE	2801	A	375.00	09855001	
10/23/2002	DTESSEM1	151	SALE	2251	A	55.00	09855001	
10/25/2001	DBYRNE	270	SALE	581	A	40.00	09855001	
05/17/2001	SSITHIB1	51	SALE	202	A	160.00	09855001	
05/17/2001	SSITHIB1	50	SALE	203	A	387.00	09855001	
05/17/2001	SSITHIB1	49	SALE	201	A	355.00	09855001	



Adjustment Detail

Authorization Detail



Adjustment date: 02/17/2004 AWONDAF1  
09/12/2003 MDAMTE1 00000043 09855001  
01 FC:2801 -375.00 OP

Adjustment date: 02/17/2004 AWONDAF1  
09/12/2003 MDAMTE1 00000043 09855001  
02 FC:2252 -205.00 OP

Adjustment date: 02/17/2004 AWONDAF1  
09/12/2003 MDAMTE1 00000043 09855001  
03 FC:2202 -9.00 OP

Adjustment date: 02/17/2004 AWONDAF1  
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